Guest Lecture Frankfurt University of Applied Sciences

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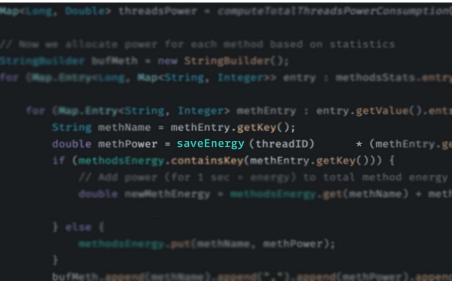
Jan Kirchner Sustainable Software Architecture Vincent Rossknecht Sustainable Software Architecture





Sustainability by IT

The climate crisis and global transformations pose new challenges for the use of new technologies and IT applications.



Sustainability in IT

It is no longer enough for software to be in-time, in-function, in-budget and inquality.

Increasingly it must also be *in-climate*.



What is your estimate of the cloud's energy consumption?

What do you think are the biggest challenges in operating a software system in the cloud?



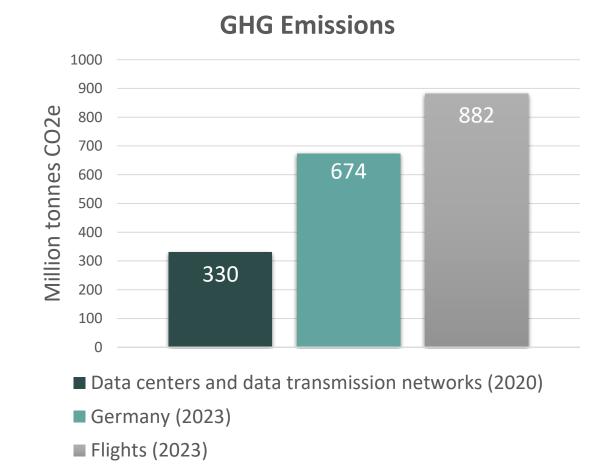
- Energy Consumption of Data Centers
- Metrics & Sustainability of Cloud Providers
- Resource Utilization in the Cloud
- Optimization Measures for laaS and PaaS
 - Resource Selection CPU & Location
 - Scaling Strategies
- Cloud Native Software Development
- Rebound Effects



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CO₂ Emissions of Data Centers – Worldwide

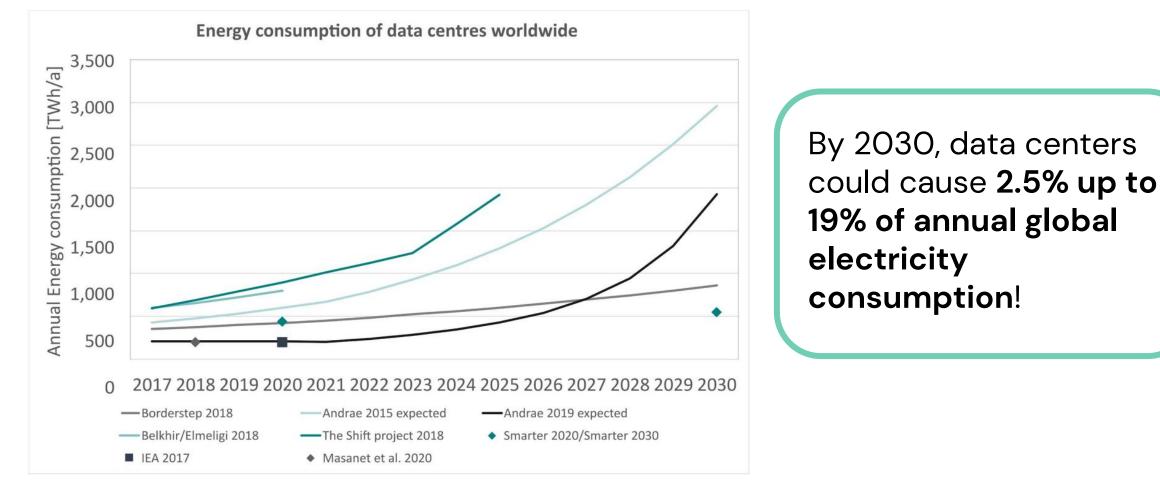


Sources:

IEA: Tracking Data Centers and Data Transmission Networks (https://www.iea.org/energy-system/buildings/data-centres-and-data-transmission-networks) Umweltbundesamt: Emissionen in Deutschland (https://www.umweltbundesamt.de/daten/klima/treibhausgas-emissionen-in-deutschland#emissionsentwicklung) ATAG: Aviation Industry Facts & Figures (https://www.atag.org/facts-figures/)



Energy Consumption of Data Centers is going up



Environment Agency Austria & Borderstep Institute: Energy-efficient Cloud Computing Technologies and Policies for an Eco-friendly Cloud Market (2021). European Commission.



Energy Consumption of Data Centers – Germany

- 2021 data centers required 3.4% of total energy consumption
- But: Data centers became more energy efficient over the years!

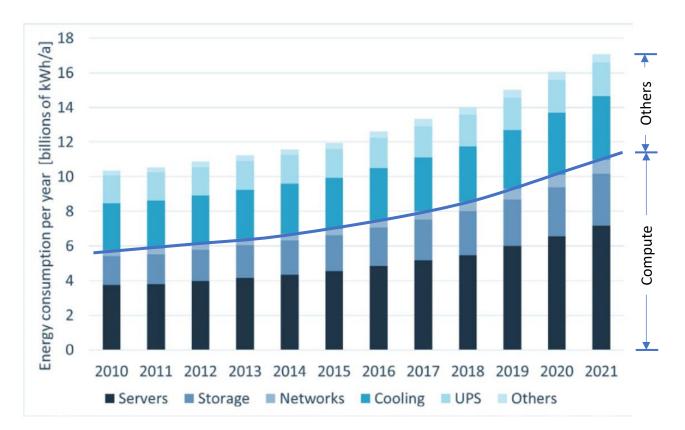


Figure 1: Energy consumption of servers and data centers in Germany from 2010 to 2021 (Source: Borderstep)

Source: Borderstep: Data centers 2021 – Cloud computing drives the growth of the data center industry and its energy consumption (https://www.borderstep.de/wp-content/uploads/2022/08/Borderstep_Rechenzentren_2021_eng.pdf)

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Energy Consumption of Data Centers – PUE

Power Usage Effectiveness

 $PUE = \frac{total \; energy \; usage \; of \; data \; center}{energy \; usage \; of \; IT \; systems}$

- Values > 1 \rightarrow the closer to 1.0 the better
- Common metric to compare individual data centers

More efficient data centers are not sufficient to counter the rising energy demand!

...more on PUE in the next section

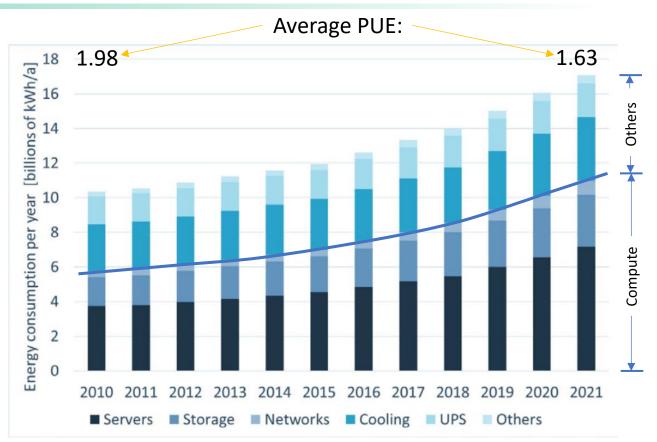


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Energy Efficiency in Cloud Computing

Why is Cloud Computing often more energy efficient than operating On-Premises?



Dynamic Provisioning

- Traditional data centers are built for worst-case scenarios
- Cloud Computing can help to avoid long-term overprovisioning



Multi-Tenancy

- Cloud providers serve multiple customers on the same infrastructure
- High number of customers flattens individual peaks



Server Utilization

 On-Premises infrastructure has usually low utilization rates



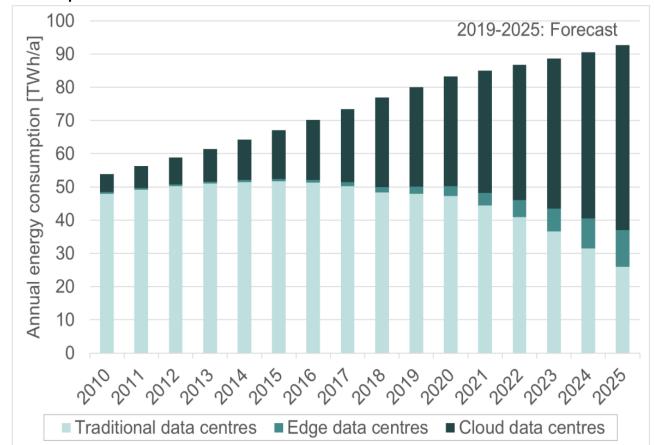
Hardware Efficiency

- Cloud data centers usually have a lower PUE value
- Use of modern technologies is more cost-effictive



Energy Consumption by Data Center Type

- We already know:
 - In many cases, Cloud Computing is more energy– efficient than operating On– Premises.
- Share of cloud data centers is steadily increasing
- Nevertheless, energy consumption by data centers is rising continuously
- Resource consumption must also be reduced in the cloud!



Scope: EU-28 Countries

Environment Agency Austria & Borderstep Institute: Energy-efficient Cloud Computing Technologies and Policies for an Eco-friendly Cloud Market (2021). European Commission.



Sustainability Goals of Cloud Providers







net-zero carbon emissions by 2040 carbon negative by 2030 remove historical carbon emissions by 2050 net-zero emissions and 24/7 carbon-free energy by 2030



Sustainability Goals of Cloud Providers

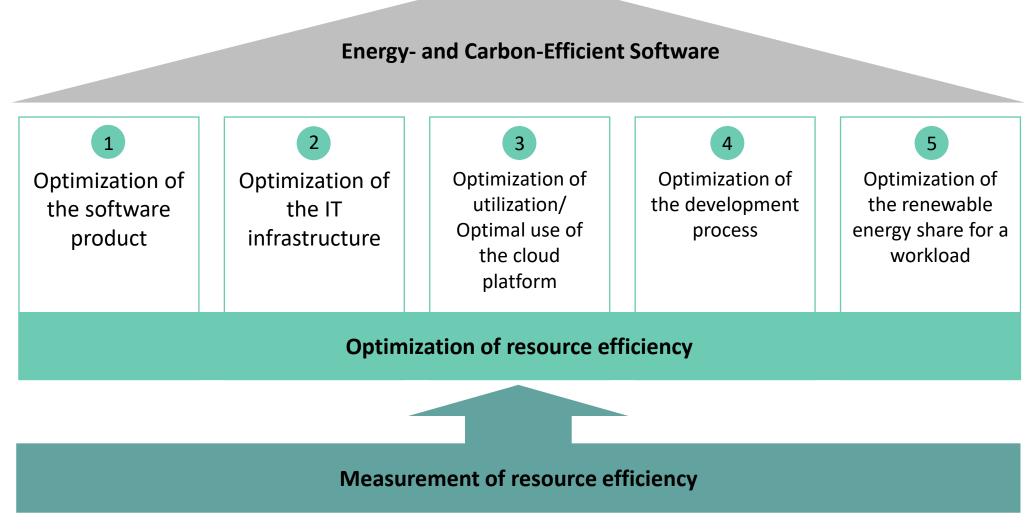


Waiting for Cloud Providers to achieve their goals is not enough!

Limiting warming to around 1.5°C requires global GHG emissions to peak before 2025 [IPCC].

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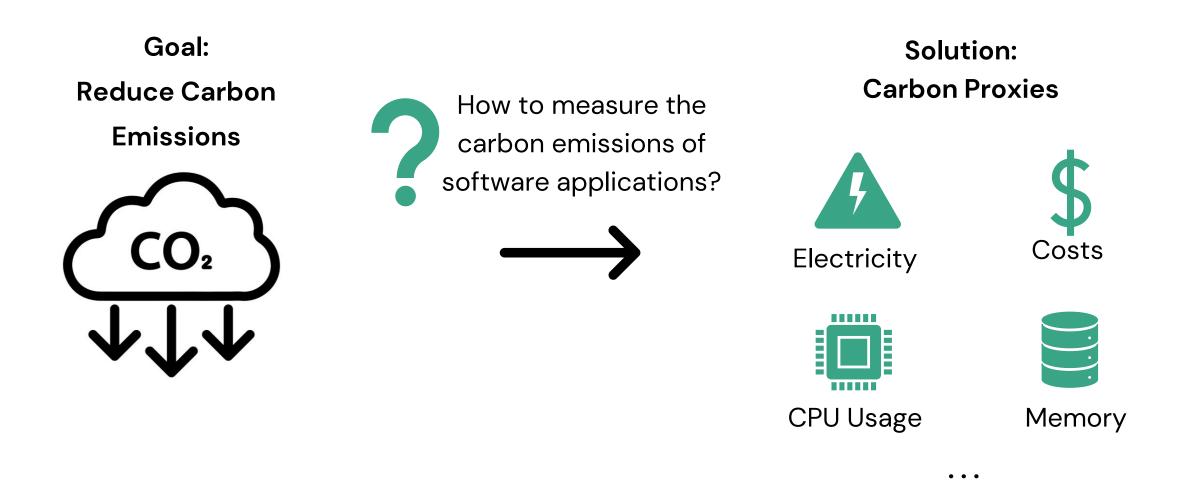
The 5 Pillars of Green Software



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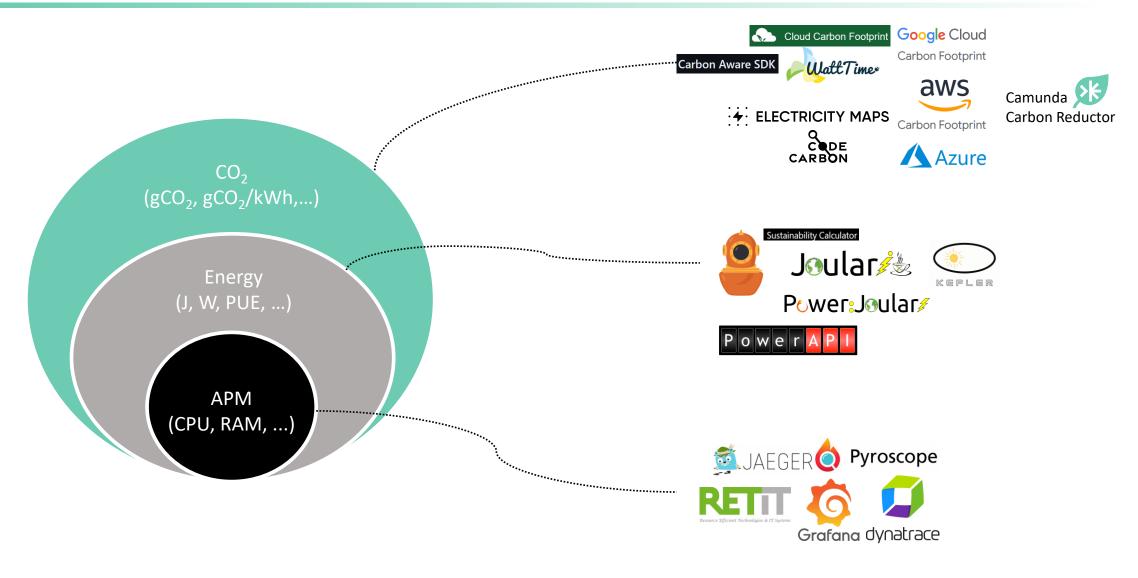


Make Carbon Emissions Measurable





Make Carbon Emissions Measurable

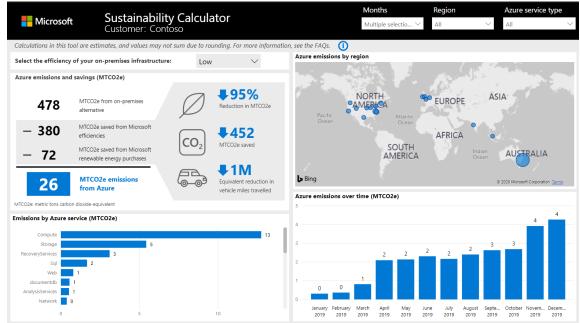


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Metrics – Carbon Footprint Tools

- Some cloud providers offer tools to monitor carbon emissions
- Tools do not provide detailed data, only on service- and region-level
- Not suitable to identify components of high energy usage or for optimizations

 Carbon Proxies are needed to provide more detailed data



Microsoft Sustainability Calculator

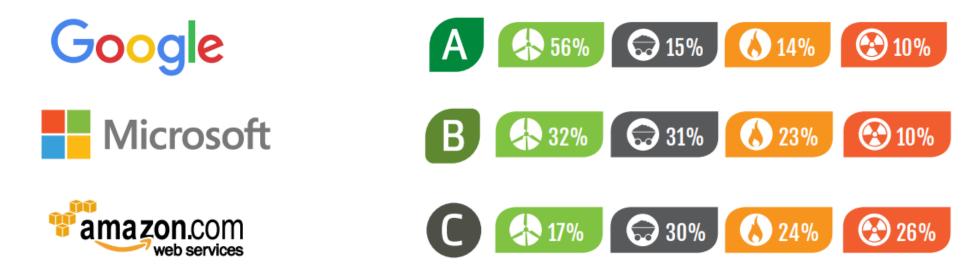
| an 2020 V Aug 2021 V Print | | | | |
|--|-----------------------------|----------------------------|------------------|------|
| Your carbon emissions summary Compares your carbon emissions with on-premises computing | Your emissions by geography | Your emissions by services | | |
| equivalents | | Service | Carbon emissions | % |
| 3.1 мтсо2е 11.9 мтсо2е | | EC2 | 0 MTCO2e | 0% |
| four estimated AWS emissions Your emissions saved on AWS | | 53 | 0 MTCO2e | 0% |
| | | Other | 3.1 MTCO2e | 100% |
| Your emission savings | | Total | 3.1 MTCO2e | 100% |
| 9.6 MTCO2e 2.3 MTCO2e Saved from AWS renewable energy parchases Saved by using AWS computing services | APAC AMER MEA | | | |
| Saved from AWS renewable energy Saved by using AWS computing | APAC AMER EMEA | | | |
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| Saved by using AWS computing archites Saved by using AWS computing services Your AWS carbon emission statistics Carbon emissions (MTCO2e) 25 24 25 24 25 24 25 24 25 24 25 24 25 24 25 25 24 25 25 24 25 25 24 25 25 25 26 26 26 26 26 26 26 26 26 26 26 26 26 | | | Month Quarter | |

Amazon Customer Carbon Footprint Tool



Sustainability of Cloud Providers

Greenpeace Study 2017 – Clicking Clean



Sustainable European Cloud Providers

infomaniak

leafcloud

Sustainability of Cloud Providers

How to choose the most sustainable cloud provider?

Comparison is difficult:

- No current data on greenhouse gases only emitted through cloud services
- Sustainability reports only include data on overall company

Idea: Use the PUE (Power Usage Effectiveness)



Metrics – Energy Efficiency of Data Centers

Critique:

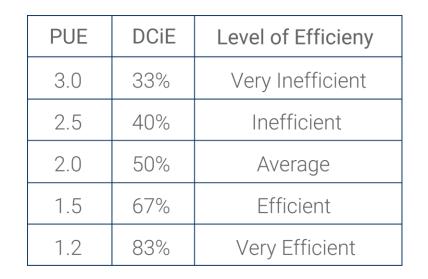
IT systems might be highly energy efficient, while other building components are not

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 \rightarrow results in high PUE

Average PUE metrics:

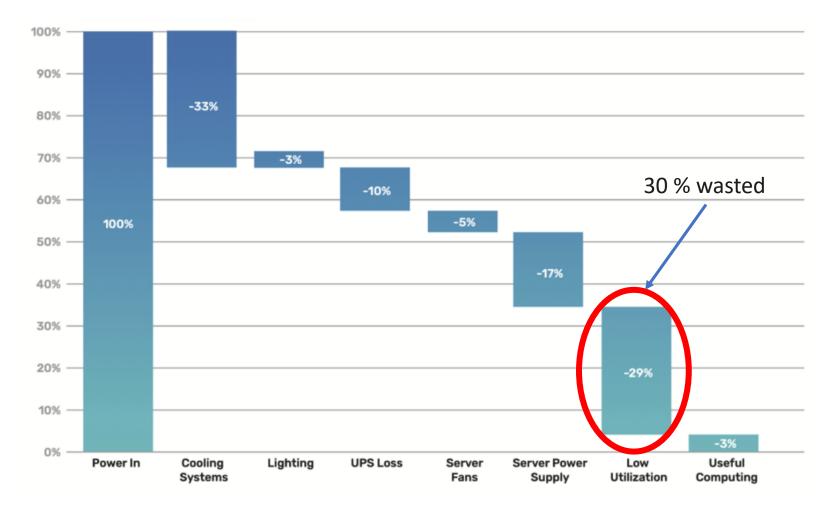
- Microsoft Azure: 1.18 (first published in April 2022^[1])
- Google Cloud: 1.10 (regular publication ^[2])
- AWS: 1.135 (no publication, approximation by CCF^[3])
- [1]: https://azure.microsoft.com/en-us/blog/how-microsoft-measures
 - data center-water-and-energy-use-to-improve-azure-cloud-sustainability/
- [2]: https://www.google.com/about/datacenters/efficiency/
- [3]: https://www.cloudcarbonfootprint.org/docs/methodology/#power-usageeffectiveness



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Problem: Low Utilization



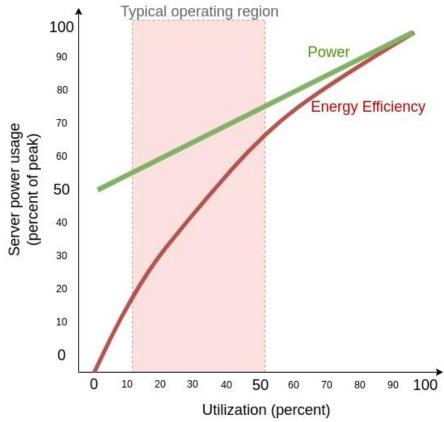
Quelle : "Improving Energy And Power Efficiency In The Data Center", SemiconductorEngineering

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High Resource Utilizations

Why should servers be utilized as much as possible? An unused server doesn't consume any electricity, does it?

- Depending on the server, already 50% of power are used without any workload
- Energy efficiency increases with increasing utilization of the server



L. A. Barroso and U. Hölzle, "The Case for Energy-Proportional Computing," in Computer, vol. 40, no. 12, pp. 33-37, Dec. 2007, doi: 10.1109/MC.2007.443.



High Resource Utilizations

Why should virtual machines also be utilized as much as possible? A virtual unit itself does not consume any power, does it?

- VMs consume very little power, depending on the size of the server and the hypervisor
- Resources can be reserved for potential VMs by the hypervisor
- Poor efficiency when few VMs are provisioned on a hypervisor

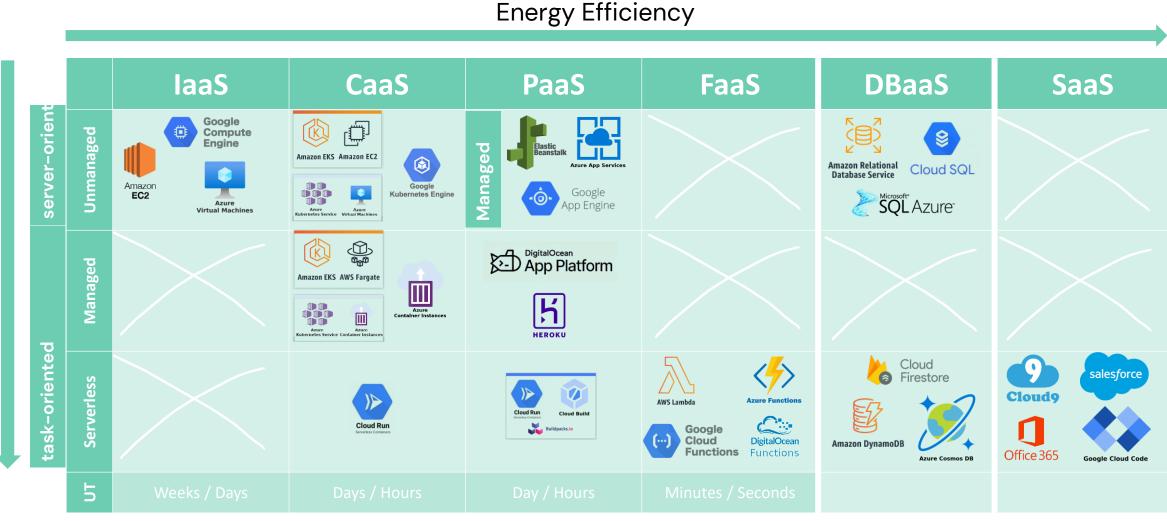
→ Cloud providers recommend stopping unused VMs to be able to use the resources on the same hypervisor for VMs of other customers



Service Models – Overview

Efficiency

Energy



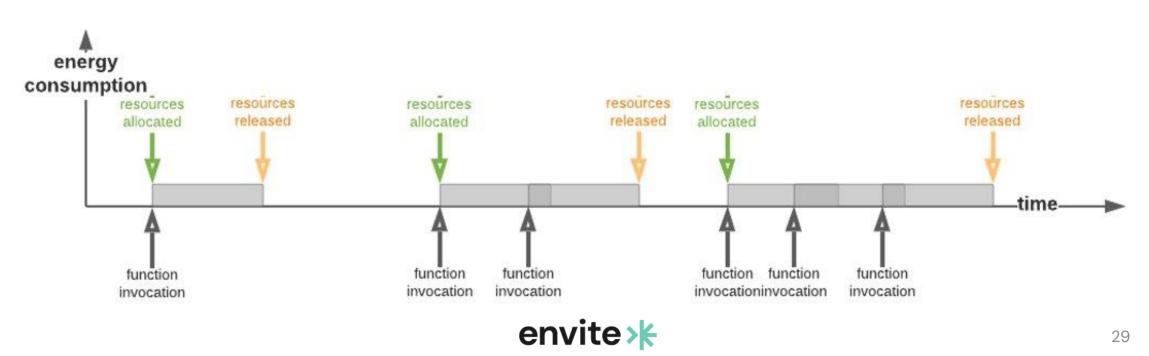
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Service Models – Functions as a Service (FaaS)

- Uses the serverless operating model
- Deployment of functions in the cloud, which are executed on demand

Aspects of energy efficiency:

- Resources are used to fit; no overprovisioning or underprovisioning
- Scale-to-Zero



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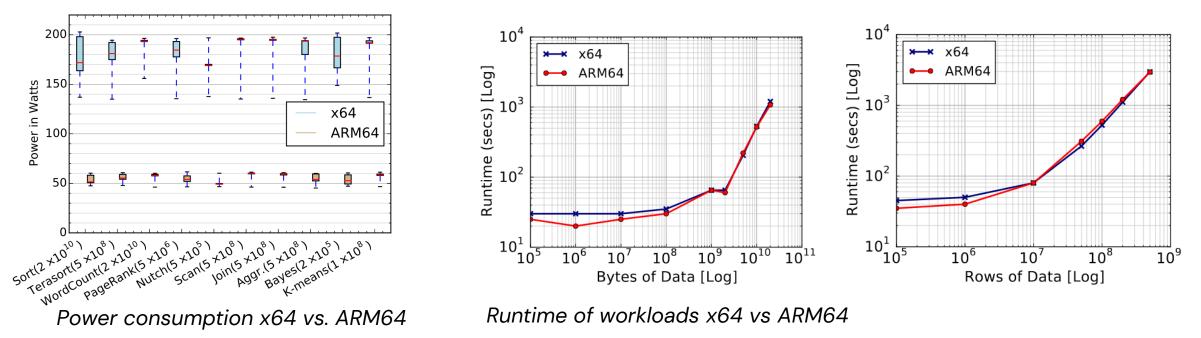
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Resource Selection – CPU

Selecting a CPU:

- Architecture of the CPU is an important factor
- ARM based CPUs often much more energy efficient than x86/64 alternatives
- Performance for many application areas comparable
- Recompilation might be necessary as many applications were developed on x64



J. Kalyanasundaram and Y. Simmhan, "ARM Wrestling with Big Data: A Study of Commodity ARM64 Server for Big Data Workloads," 2017 IEEE 24th International Conference on High Performance Computing (HiPC), Jaipur, India, 2017, pp. 203-212, doi: 10.1109/HiPC.2017.00032.

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Reduce Carbon Emissions

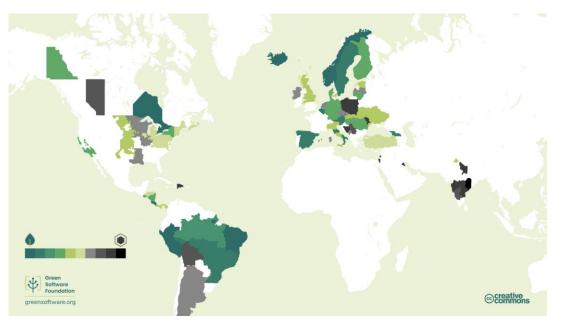
Carbon Intensity

Carbon intensity measures how much CO_2e is emitted per KWh of electricity.

Software

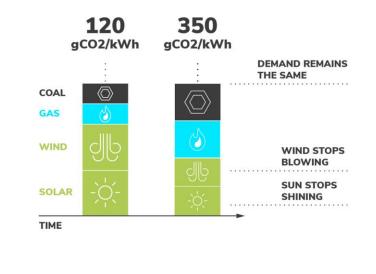
preensoftware.on

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Carbon intensity varies by location

Carbon intensity changes over time



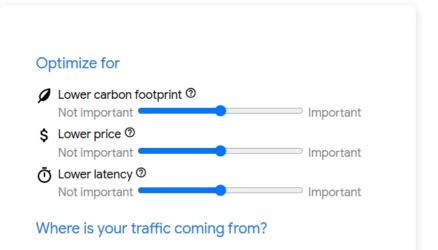
Creative

Resource Selection – Locality

- Cloud regions vary significantly in terms of carbon emissions
- Google offers the Region Picker to take into account carbon footprint, price, and latency
- Region Picker does not take energy mix into account
 - → Nuclear power plants are considered "low carbon"

Google Cloud Region Picker

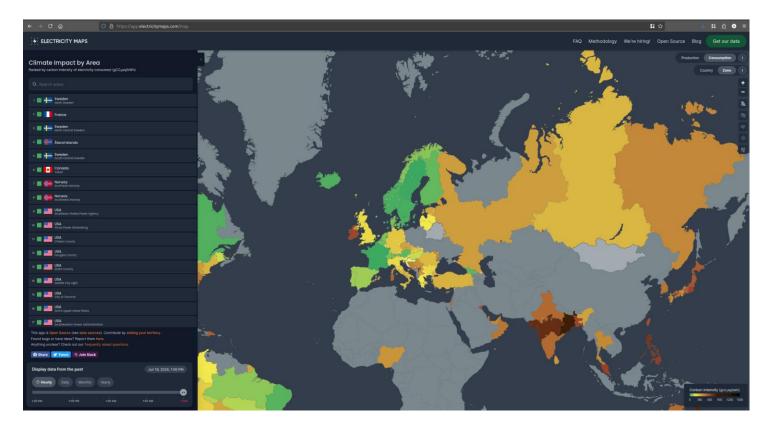
This tool helps you pick a Google Cloud region considering carbon footprint, price and latency.



| Your current location | |
|-----------------------|---|
| Afghanistan | |
| Albania | |
| Algeria | |
| American Samoa | • |
| | |

Resource Selection – Locality

- Electricity Maps as an alternative to Google Region Picker
- WattTime provides data on power plant emissions by using measurements from space



https://app.electricitymaps.com/map



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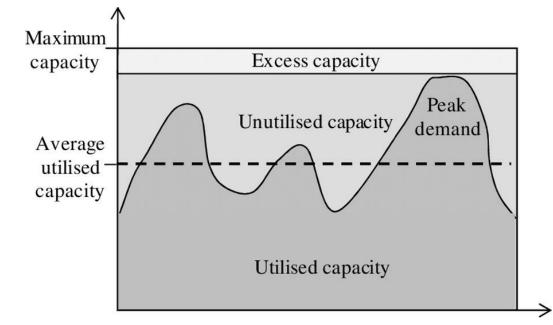


Anti-pattern when operating in the Cloud: Overprovisioning

- Permanent allocation of resources in order to be able to serve peak loads
- On average, resource allocation exceeds actual demand

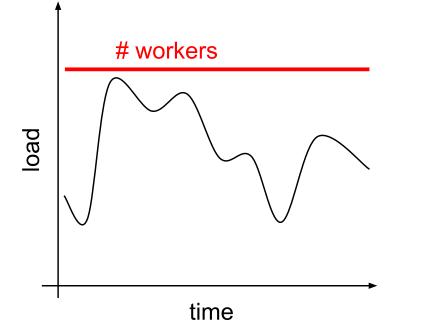
Examples:

- Provision online shop for peak loads in Christmas season
- Provision for execution of scheduled jobs



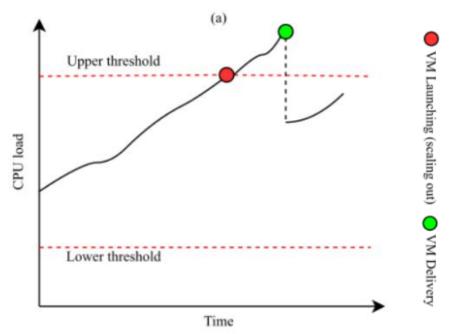
Overprovisioning

Overprovisioning often occurs when static or reactive scaling is used



Static Scaling

No elasticity \rightarrow overprovisioning needed to handle peak loads



Reactive Scaling (On-Demand)

Resources are not provided fast enough \rightarrow overprovisioning needed

Scaling Strategies

Different scaling strategies to avoid overprovisioning and to save resources

Pro-Active / On-Prediction Random / On-Coincidence

Demand Shifting / On-Availability

Demand Shaping / On-Availability



Scaling Strategies – Pro-Active

- Pro-active provisioning of resources
- Demand-driven scaling before actual demand is present ("On-Prediction")
- Counteracts
 overprovisioning that occurs
 due to excessive startup
 times of VMs or other
 instances

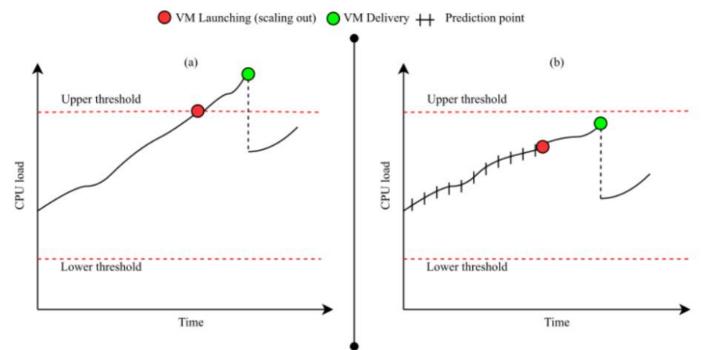


Fig. 1. Elasticity approaches: (a) reactive; (b) proactive.

Scaling Strategies – Random

- Random provisioning of resources to equalize peak loads
- Regular workloads will be started at random times to better distribute the load on the system
- Only possible for workloads without user interaction

Examples:

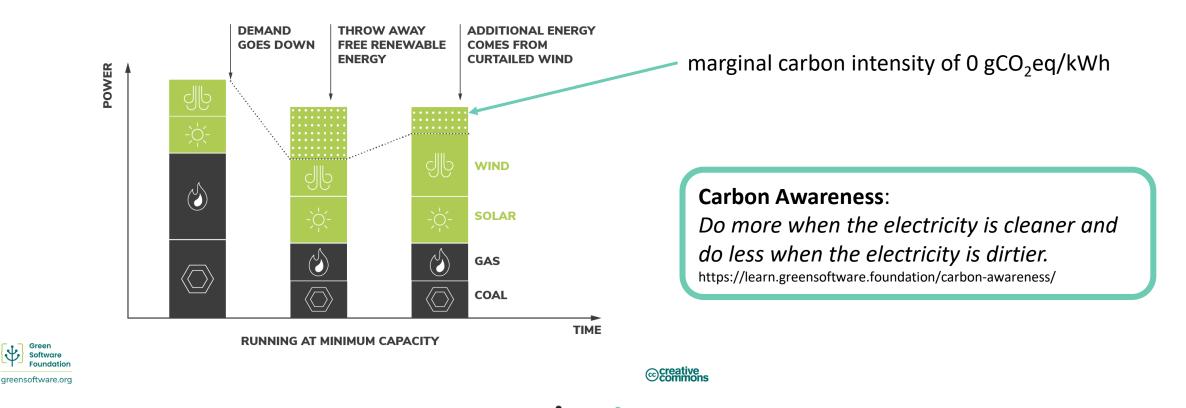
- Event-Streaming applications
- Creating a database backup that would otherwise always run at 12 a.m.



Reduce Carbon Emissions

Marginal Carbon Intensity

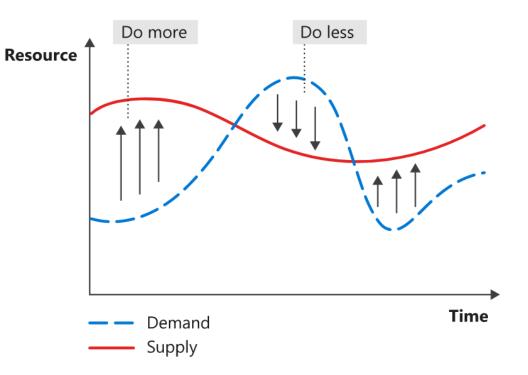
Electricity supply and demand must always be in balance. Marginal carbon intensity is the carbon intensity of the power plant that would have to be employed to meet new demand.



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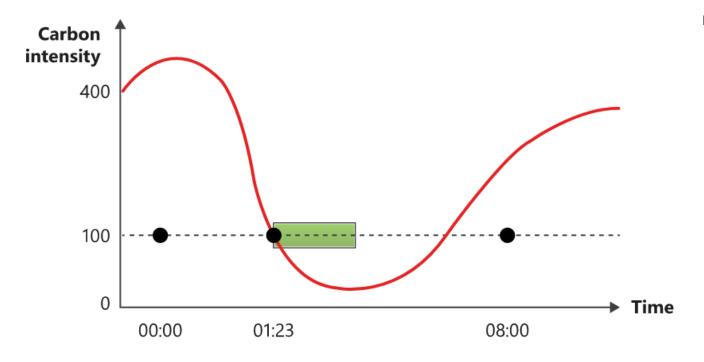
Scaling Strategies – Demand Shaping

- "On-availability" scaling shapes demand according to available supply
- Strategy for flexible workloads without time constraints
- Adjusts the provisioned resources to available resources
 - Examples for constrained resources:
 CPU capacity, renewable energies ...
- Workloads can be matched to free capacities of the cloud provider with on-demand, spot and preemptible instances



Scaling Strategies – Demand Shifting

 Time-flexible workloads are shifted to times or regions where they can be executed with lower carbon emissions



- Alternatively, execution is shifted according to other criteria
 - Times or regions where unused cloud provider resources are available
 - Times or regions where own unused resources are available



Green Cloud Computing

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Cloud Native Software Development

- Cloud-native software development deals with the specialized development of applications in the cloud
- Applications are designed to be...
 - highly scalable,
 - resilient,
 - flexible
- Flexibility of the cloud infrastructure is important
- Cloud development offers many advantages over solutions onpremises in terms of energy efficiency

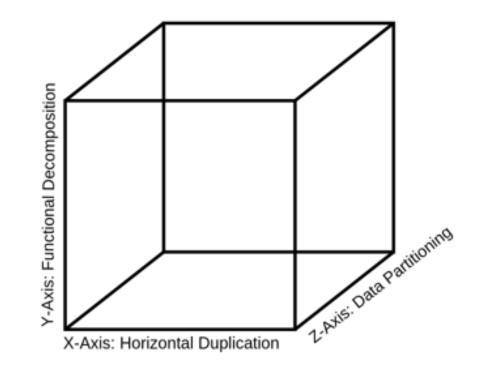
Advantages can only be used if applications are designed to be operated in the cloud!



Cloud Native Software Development

Prerequisites for taking advantage of all the benefits in terms of energy efficiency:

- Fast startup times for flexible scalability
- Fast "graceful shutdowns" to be able to shut down applications without data corruption
- Available failover strategy to get back online quickly
- Should be stateless
- Have good scalability according to the scale cube model



Applications should follow the **12 Factor Method** (https://12factor.net/)

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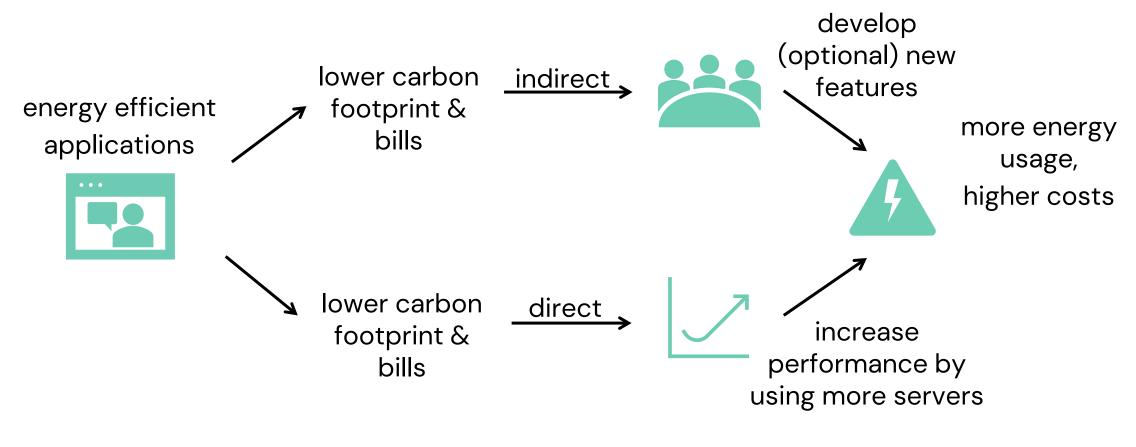
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Rebound Effects

- Optimizations lead to energy and costs savings
- Risk: savings encourage changed behavior and lead to increased energy usage



Questions?

Thank you!

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Serverless with Java

Best Practices for Serverless with Java

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Exercise



Build faster, smaller, leaner applications



https://github.com/enviteconsulting/showcase-graalvm



Book Demo App

graalvm-demo-book |<----| graalvm-demo-utilizer POST /books POST /load-test insert books - configure url, endpoint - set numBooks, |----+ numRequests, numUsers v MongoDB Store books

Spring Boot 3





0.5 CPU and 512MB Memory

10s

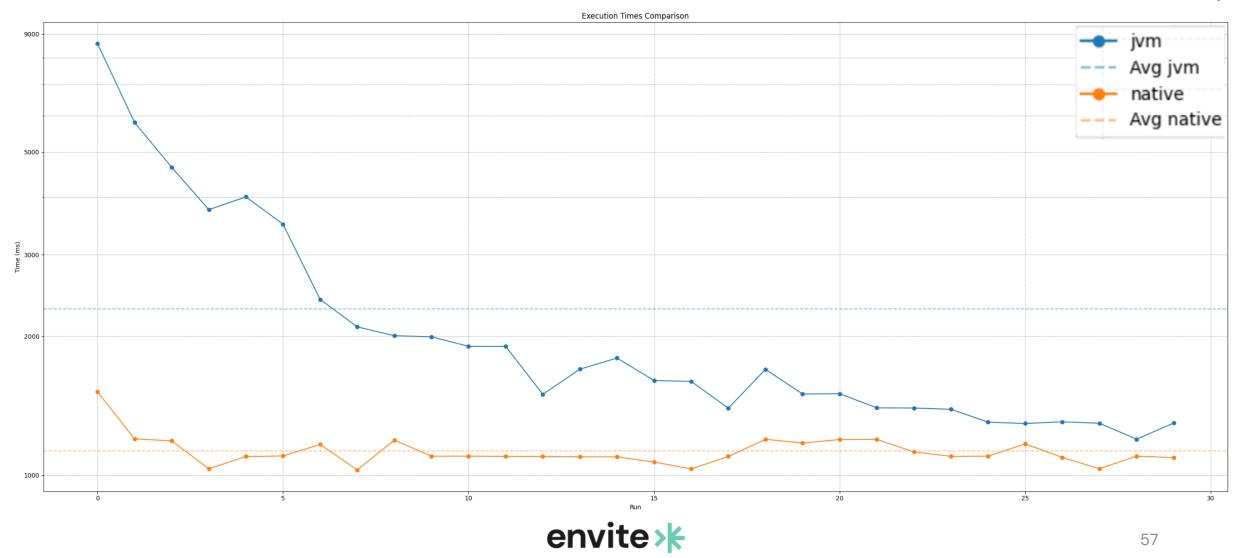


Java

0.2s



Load Test (30 runs a' 600 requests)

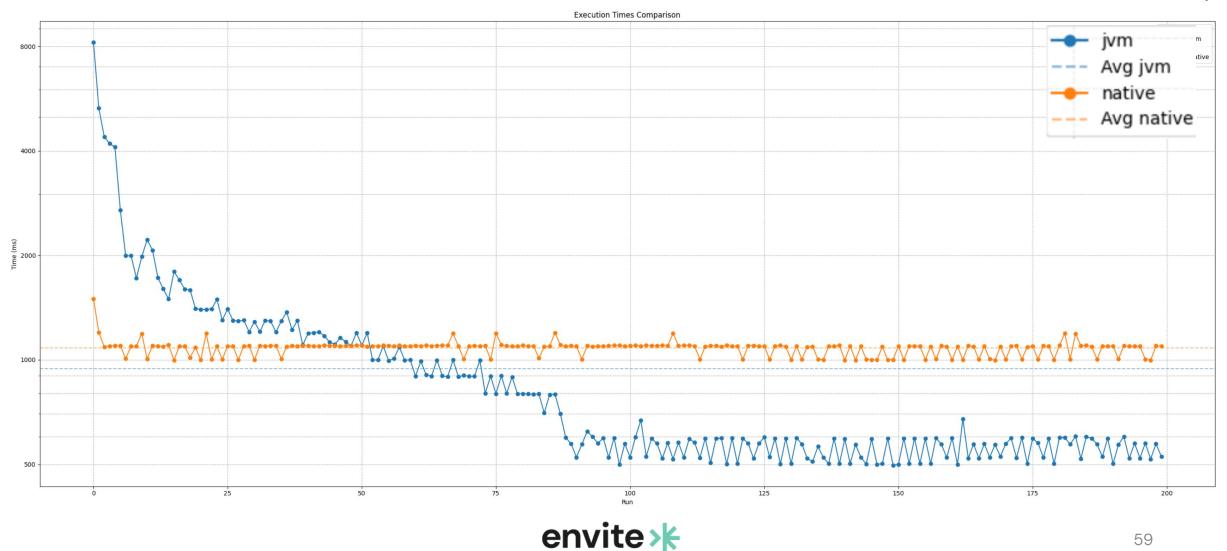


Load Test (30 runs a' 600 requests)





Load Test (200 runs a' 600 requests)



Load Test (200 runs a' 600 requests)





Load Test (200 runs a' 600 requests)





Load Test (150 runs a' 600 requests)



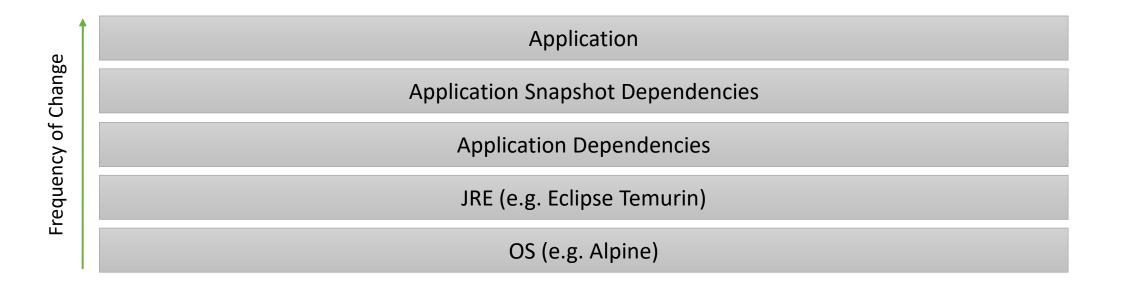


Container Image Layers

Best Practices for Small Image Footprint

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Java Container Image Layers





| | 0.1 MB | Application |
|--|----------|---|
| | 0.4 MB | Spring Boot Loader |
| | 0.0 MB | Application Snapshot Dependencies |
| | 31.0 MB | Application Dependencies |
| | | docker.io/eclipse-temurin:21.0.3_9-jre-alpine |
| | 182.0 MB | JRE (Eclipse Temurin 21) |
| | 7.4 MB | OS (Alpine 3.19) |

Frequency of Change



Dockerfile (Multi-Stage build)

ARG BUILDER_WORKDIR WORKDIR \${BUILDER_WORKDIR} # Download dependencies COPY pom.xml mvnw ./ COPY .mvn .mvn RUN ./mvnw verify --fail-never # Build Layered application jar COPY src src RUN ./mvnw package

RUN adduser -D -s /bin/false -u 1000 appuser

WORKDIR /opt/dist
ARG BUILDER_DIST_DIR
COPY --from=builder \${BUILDER_DIST_DIR}/dependencies/ ./
COPY --from=builder \${BUILDER_DIST_DIR}/snapshot-dependencies/ ./
COPY --from=builder \${BUILDER_DIST_DIR}/spring-boot-Loader/ ./
COPY --from=builder \${BUILDER_DIST_DIR}/application/ ./

EXPOSE 8080

USER appuser

pom.xml (enable layered jar)

<plugin>

</configuration>

</plugin>



- (+) Changes to the application code does not require re-build and re-distribution of dependencies
- (+) Unpacking jar also reduces startup time ⁽¹⁾

Recommendations

- Use minimalistic base image (Alpine + JRE), to keep image size small
- Use same base image in your applications to increase re-use of layers on target hosts

Important

 In-order to avoid re-build of layers without change you need to do additional steps on CI/CD Pipeliens to re-use the image cache

(1) https://docs.spring.io/spring-boot/reference/deployment/efficient.html#deployment.efficient.unpacking



Java Container Image with JLink (Example)

| | 0.1 MB | Application |
|--|---------|---|
| | 0.4 MB | Spring Boot Loader |
| | 0.0 MB | Application Snapshot Dependencies |
| | 31.0 MB | Application Dependencies |
| | 77.0 MB | Custom JRE with JLink (based on Eclipse Temurin 21) |
| | | docker.io/alpine:3.20 |
| | 7.8 MB | OS (Alpine 3.20) |

Frequency of Change



Java Container Image with JLink (Example)

Dockerfile (Multi-Stage build)

ARG BUILDER_WORKDIR WORKDIR \${BUILDER_WORKDIR} # Download dependencies COPY pom.xml mvnw ./ COPY .mvn .mvn RUN ./mvnw verify --fail-never # Build layered application jar COPY src src RUN ./mvnw package

ARG BUILDER_DIST_DIR

Detect JRE modules required by your application
RUN jdeps --print-module-deps --ignore-missing-deps \
 --multi-release 21 --recursive \
 --class-path "\${BUILDER_DIST_DIR}/dependencies/BOOT-INF/lib/*" \
 "\${BUILDER_DIST_DIR}" > target/deps.info
ARG BUILDER_JRE_DIR
Build custom JRE which only contains required modules
RUN jlink --strip-debug --compress zip-6 --no-header-files --no-man-pages \
 --add-modules "\$(cat target/deps.info),jdk.naming.dns,jdk.crypto.ec" \
 --output "\${BUILDER_JRE_DIR}"
Generate CDS archive for custom JRE
RUN "\${BUILDER JRE DIR}/bin/java" -Xshare:dump

RUN adduser -D -s /bin/false -u 1000 appuser

ENV JAVA_HOME="/opt/java/openjdk"

ENV PATH=\$JAVA_HOME/bin:\$PATH
ARG BUILDER_JRE_DIR
COPY --from=builder \${BUILDER_JRE_DIR} \$JAVA_HOME

WORKDIR /opt/dist ARG BUILDER_DIST_DIR COPY --from=builder \${BUILDER_DIST_DIR}/dependencies/ ./ COPY --from=builder \${BUILDER_DIST_DIR}/snapshot-dependencies/ ./ COPY --from=builder \${BUILDER_DIST_DIR}/spring-boot-Loader/ ./ COPY --from=builder \${BUILDER_DIST_DIR}/application/ ./

EXPOSE 8080

USER appuser



- (+) Reduced image size (in this example 105MB smaller)
- (+) Reduced memory consumption during runtime
- (-) If every application uses its own custom JRE, the JRE layer cannot be shared anymore
- (-) If code change adds requirement for an additional JRE module, the JRE needs to be re-build and re-distributed

Open Issues

- JRE and OS security patches may require re-build and re-distribution of entire image
 - Redcuce probability that you are affected
 - With custom build JRE, you only need to upgrade if a security issue affects a module which you are using
 - With very small OS base image, probability is reduced that there is a security issue
 - You can further reduce size of OS by build your custom OS image e.g. with apko, which only includes shared libraries you actually need

envite >k